



A1-1/1600

PTO/SB/21 (01-03)

Approved for use through 04/03/2003. OMB 0651-0 031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (To be used for all correspondence after initial filing)	Application Number	09/234,208
	Filing Date	January 20, 1999
	First Named Inventor	Dougherty
	Group Art Unit	1642
	Examiner Name	Anne L. Holleran
	Attorney Docket No.	49321-1

**RECEIVED**  
DEC 31 2003  
TECH CENTER 1600/2000

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> CD(s), Number of CD(s)
<input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Information Disclosure Statement; Form PTO-1449	<input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b)	<input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter
<input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
Remarks _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Barry L. Davison, Ph.D., J.D.	 <b>22504</b> PATENT TRADEMARK OFFICE
Signature		
Date	22 December 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date specified below.		
Typed or printed name		
Signature		Date:

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

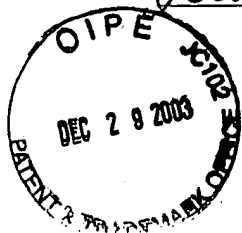
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

RECEIVED

DEC 31 2003

TECH CENTER 1600/2900



December 22, 2003

Date

Kay Bulen

Signature

Kay Bulen

Printed Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Doherty et al.  
Filing Date: 20 January 1999  
Serial No.: 09/234,208  
For: HER-2 BINDING ANTAGONISTS  
Art Unit: 1642  
Examiner: Anne L. Holleran  
Docket: 49321-1  
Date: 22 December 2003

Mail Stop AF Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE AND AMENDMENT UNDER 37 C.F.R. § 1.116

Sir:

This is in response to an Office Action dated 21 October 2003 for the above-identified patent application. Kindly amend the above-identified patent application as follows: